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Jc952 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL
(only for new and continuation-in-part
nonprovisional applications under 37 CFR
1.53(b))

Docket No.:

P-UC 4679

31040 U.S. PTO
09/932161
08/17/01

Address to: COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231



23601

PATENT TRADEMARK OFFICE

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Amy Burkhardt

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This is a request for filing a
_____ new utility patent application under 37 CFR 1.53(b)(1).

X continuation-in-part under CFR 1.53(b)(2) of prior
application serial no. 09/560,915, filed
April 28, 2000.

Title: SCREENING AND THERAPEUTIC METHODS FOR PROMOTING
WAKEFULNESS AND SLEEP

Inventor(s) (full name of each inventor): **Olivier Civelli**
Steven Lin

Enclosed are:

X Return receipt postcard
X Patent Application Bibliographic Data Sheet
X 1 Page application cover sheet
x 95 Pages of specification (includes claims and abstract)
x 7 Sheets of drawing(s)
x 3 Pages of an executed Declaration for Patent Application
x An executed Power of Attorney By Assignee and Exclusion
of Inventor(s) Under 37 CFR § 3.71
x Paper copy of sequence listing, pages 1 through 7
x Sequence listing in computer readable form
x Statement Under 37 CFR 1.821(f)
x An executed assignment and cover sheet
x An executed Statement Under 37 CFR 3.73(b) w/copy of
executed Assignment
x An executed small entity statement by
NeoGene Technologies, Inc.
x An executed small entity statement by
NeoTherapeutics, Inc.
x An executed small entity statement by The Regents of
the University of California
Request for Nonpublication and Certification
Also enclosed: _____

_____ This application is based on prior foreign application(s)
No.(s) _____, filed in _____ on _____
_____, respectively, and priority is hereby claimed
therefrom.

Inventors: Olivier Civelli and Steven Lin
Docket No.: P-UC 4679
Page 2

— This application is based on, and claims the benefit of,
U.S. Provisional Application No. 60/_____, filed _____
, and entitled _____, and which is incorporated
herein by reference.

— This application is based on, and claims the benefit of,
U.S. Provisional Application No. 60/_____ (yet to be
assigned), filed _____, which was converted from U.S.
Serial No. _____, and entitled _____,
and which is incorporated herein by reference.

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate		Fee	
					Small Entity	Other Entity	Small Entity	Other Entity
Total Claims	33-20	=	13	x	\$9	\$18	= \$117	\$
Indepen- dent Claims	4 - 3	=	1	x	\$40	\$80	= \$ 40	\$
Multiple Dependent Claims Presented:___ Yes ___ No					\$135	\$270	\$ 00	\$
					BASIC FEE		\$355	\$710
					TOTAL FEE		\$512	\$

x A check in the amount of **\$552.00** is enclosed, **\$512.00** of
which covers the basic filing fee, and **\$40.00** which covers
the filing fee for the Assignment. A duplicate copy of this
sheet is enclosed.

— The payment of the filing fee is to be deferred until the
Declaration is filed. Do not charge our deposit account.

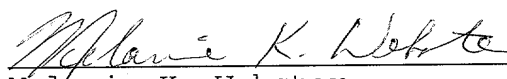
x The Commissioner is hereby authorized to charge fees under
37 CFR 1.16 and 1.17 which may be required or credit any
overpayment to Deposit Account No. 03-0370. A duplicate
copy of this sheet is enclosed.

Address all future communications to:

Cathryn Campbell
CAMPBELL & FLORES LLP
4370 La Jolla Village Drive, 7th Floor
San Diego, California 92122
telephone: (858) 535-9001
facsimile: (858) 535-8949
USPTO CUSTOMER NO. 23601

Respectfully submitted,

Date: August 17, 2001


Melanie K. Webster
Registration No. 45,201
CAMPBELL & FLORES LLP
4370 La Jolla Village Dr., 7th Fl.
San Diego, California 92122

Document: Paper copy of Sequence
Listing, pages 1 through 7
Attorney Docket No: P-UC 4679



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Amy Burkhardt
Printed Name of Person Mailing Paper or Fee

Amy Burkhardt
Signature of Person Mailing Paper or Fee

10/2/01 10:30 AM

Document: SMALL ENTITY STATEMENT FOR
THE REGENTS OF THE UNIVERSITY OF
CALIFORNIA
Attorney Docket No: P-UC 4679

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Printed Name of Person Mailing Paper or Fee

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Document: SMALL ENTITY STATEMENT FOR
NEOTHERAPEUTICS, INC.
Attorney Docket No: P-UC 4679

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Document: STATEMENT UNDER 37 CFR
§3.73(b) with copy of executed
Assignment
Attorney Docket No: P-UC 4679

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Assignee and Exclusion of Inventor(s)
Under 37 CFR § 3.71
Attorney Docket No: P-UC 4679

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Attorney Docket No: P-UC 4679

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Signature of Person Mailing Paper or Fee

PATENT

Our Docket: P-UC 4679

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Civelli and Lin)
Serial No. Not Assigned Yet)
Filed: Herewith)
For: SCREENING AND THERAPEUTIC)
METHODS FOR PROMOTING)
WAKEFULNESS AND SLEEP)

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Commissioner for Patents
Washington, D.C. 20231

Amy Burkhardt
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)
Amy Burkhardt
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Sir:

STATEMENT UNDER 37 C.F.R. § 1.821(f)

I hereby state that the content of the paper and
computer readable copies of the Sequence Listing, submitted in
accordance with 37 CFR § 1.821(c) and (e), respectively, are the
same.

Respectfully submitted,

August 17, 2001

Date

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